

### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

### NOTICE OF ALLOWANCE AND FEE(S) DUE

22850

7590

09/17/2003

OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT,

P.C. 1940 DUKE STREET ALEXANDRIA, VA 22314 EXAMINER

WANG, LIANG CHE A

ART UNIT

CLASS-SUBCLASS

2155

709-231000

DATE MAILED: 09/17/2003

۱	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
•	09/530,579	08/29/2000	Jonas Malmkvist	2867-0185-2	3577

TITLE OF INVENTION: RESOURCE OPTIMIZATION FUNCTION IN A DATA AND TELECOMMUNICATIONS SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1300	\$0	\$1300	12/17/2003

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

#### **HOW TO REPLY TO THIS NOTICE:**

I. Review the SMALL ENTITY status shown above.

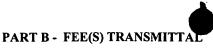
If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status is changed, pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above and notify the United States Patent and Trademark Office of the change in status, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check the box below and enclose the PUBLICATION FEE and 1/2 the ISSUE FEE shown above.
- □ Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents Alexandria, Virginia 22313-1450 or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1) 09/17/2003 7590

OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, 1940 DUKE STREET ALEXANDRIA, VA 22314

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name) (Signature) (Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/530,579	08/29/2000	Jonas Malmkvist	2867-0185-2	3577

TITLE OF INVENTION: RESOURCE OPTIMIZATION FUNCTION IN A DATA AND TELECOMMUNICATIONS SYSTEM

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nonprovisional	NO	\$1300	A	\$0	\$1300	12/17/2003
EXAM	IINER	ART UN	т	CLASS-SUBCLASS	<b>7</b> .	
WANG, LIA	ANG CHE A	<u>\</u> 2155		709-231000	_	
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			names of up agents OR, a firm (having agent) and th	g on the patent front page to 3 registered patent ternatively, (2) the name as a member a registered e names of up to 2 regis gents. If no name is list	attorneys or 1e of a single lattorney or 2stered patent	

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will r	ot be printed on the patent);	individual	□ corporation or other private group entity	governmen
4a. The following fee(s) are enclosed:	4b. Payment of Fee(s):			
☐ Issue Fee	A check in the amo	ount of the fee(s)	is enclosed.	
☐ Publication Fée	Payment by credit	card. Form PTO-	2038 is attached.	
☐ Advance Order - # of Copies	The Director is he Deposit Account Nur		by charge the required fee(s), or credit any (enclose an extra copy of this	
Director for Patents is requested to apply the Issue Fee and Publica	tion Fee (if any) or to re-apply	any previously p	oaid issue fee to the application identified abo	ove.
(Authorized Signature) (Da	te)			
NOTE; The Issue Fee and Publication Fee (if required) will mother than the applicant; a registered attorney or agent; or the interest as shown by the records of the United States Patent and T	ot be accepted from anyone assignee or other party in rademark Office.			
This collection of information is required by 37 CFR 1.311. The obtain or retain a benefit by the public which is to file (and by application. Confidentiality is governed by 35 U.S.C. 122 and 37 estimated to take 12 minutes to complete, including gathering, prompleted application form to the USPTO. Time will vary dependence and the complete application form to the dispersion of the children of time you require to suggestions for reducing this burden, should be sent to the Children and Trademark Office, U.S. Department of Commission of the complete of t	the USPTO to process) an CFR 1.14. This collection is reparing, and submitting the tending upon the individual complete this form and/or of Information Officer, U.S. erce, Alexandria, Virginia LMS TO THIS ADDRESS.			
Under the Paperwork Reduction Act of 1995, no persons ar collection of information unless it displays a valid OMB control re	e required to respond to a umber.			



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09/530,579	08/29/2000	Jonas Malmkvist	2867-0185-2	3577	
22850 75	90 09/17/2003	•	ЕХАМІ	EXAMINER	
OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT,			WANG, LIA	WANG, LIANG CHE A	
P.C. 940 DUKE STRE	FT		ART UNIT	PAPER NUMBER	
ALEXANDRIA, V			2155	14	
	•		DATE MAILED: 09/17/2003	/ 1	

# Determination of Patent Term Extension under 35 U.S.C. 154 (b)

(application filed after June 7, 1995 but prior to May 29, 2000)

The Patent Term Extension is 0 day(s). Any patent to issue from the above-identified application will include an indication of the 0 day extension on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Extension is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) system (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (703) 305-1383. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.



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22850	7590 09/17/2003		EXAM	NER
•	OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT,			NG CHE A
P.C. 1940 DUKE STR	EET		ART UNIT	PAPER NUMBER
ALEXANDRIA,	VA 22314		2155	
			DATE MAILED: 09/17/2003	

### Notice of Fee Increase on October 1, 2003

If a reply to a "Notice of Allowance and Fee(s) Due" is filed in the Office on or after October 1, 2003, then the amount due will be higher than that set forth in the "Notice of Allowance and Fee(s) Due" since there will be an increase in fees effective on October 1, 2003. See Revision of Patent Fees for Fiscal Year 2004; Final Rule, 68 Fed. Reg. 41532, 41533, 41534 (July 14, 2003).

The current fee schedule is accessible from (http://www.uspto.gov/main/howtofees.htm).

If the fee paid is the amount shown on the "Notice of Allowance and Fee(s) Due" but not the correct amount in view of the fee increase, a "Notice of Pay Balance of Issue Fee" will be mailed to applicant. In order to avoid processing delays associated with mailing of a "Notice of Pay Balance of Issue Fee," if the response to the Notice of Allowance is to be filed on or after October 1, 2003 (or mailed with a certificate of mailing on or after October 1, 2003), the issue fee paid should be the fee that is required at the time the fee is paid. If the issue fee was previously paid, and the response to the "Notice of Allowance and Fee(s) Due" includes a request to apply a previously-paid issue fee to the issue fee now due, then the difference between the issue fee amount at the time the response is filed and the previously-paid issue fee should be paid. See Manual of Patent Examining Procedure, Section 1308.01 (Eighth Edition, August 2001).

Effective October 1, 2003, 37 CFR 1.18 is amended by revising paragraphs (a) through (c) to read as set forth below.

Section 1.18 Patent post allowance (including issue) fees.

(a) Issue fee for issuing each original or reissue patent, except a design or plant patent:

(b) Issue fee for issuing a design patent:

(c) Issue fee for issuing a plant patent:

Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at (703) 305-8283.

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	Application No.	Applicant(s)	\
Notice of Allowability	09/530,579	MALMKVIST ET AL	
Notice of Allowability	Examiner	Art Unit	
	Liang-che Alex Wang	2155	· · · · · · · · · · · · · · · · · · ·
The MAILING DATE of this communication at All claims being allowable, PROSECUTION ON THE MERITS herewith (or previously mailed), a Notice of Allowance (PTOL NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATEN of the Office or upon petition by the applicant. See 37 CFR 1	S IS (OR REMAINS) CLOSED in the -85) or other appropriate communing TRIGHTS. This application is sub-	nis application. If not include ication will be mailed in due	ed course. <b>THIS</b>
<ol> <li>This communication is responsive to 8/14/2003.</li> <li>The allowed claim(s) is/are 8-14.</li> <li>The drawings filed on 29 August 2000 are accepted by</li> <li>Acknowledgment is made of a claim for foreign priority         <ul> <li>All</li> <li>Some*</li> <li>None</li> <li>Oertified copies of the priority documents in the communication is responsive to 8/14/2003.</li> </ul> </li> </ol>	under 35 U.S.C. § 119(a)-(d) or (in the second seco	No	tion from the
Copies of the certified copies of the priority      International Bureau (PCT Rule 17.2(a)      * Certified copies not received:      Acknowledgment is made of a claim for domestic priori	i). ity under 35 U.S.C. § 119(e) (to a l		aon nom ale
(a) ☐ The translation of the foreign language provision 6. ☐ Acknowledgment is made of a claim for domestic priori Applicant has THREE MONTHS FROM THE "MAILING DATE below. Failure to timely comply will result in ABANDONMEN"	ity under 35 U.S.C. §§ 120 and/or  "" of this communication to file a re	eply complying with the requ	irements noted EXTENDABLE.
7. A SUBSTITUTE OATH OR DECLARATION must be s INFORMAL PATENT APPLICATION (PTO-152) which gives			NOTICE OF
<ul> <li>8.  CORRECTED DRAWINGS must be submitted. <ul> <li>(a) including changes required by the Notice of Drafts</li> <li>1) hereto or 2) to Paper No.</li> <li>(b) including changes required by the proposed draw</li> <li>(c) including changes required by the attached Exam</li> </ul> </li> <li>Identifying indicia such as the application number (see 37 Cleach sheet.</li> </ul>	ring correction filed, which in er's Amendment / Comment or i	has been approved by the E n the Office action of Paper	No
9. DEPOSIT OF and/or INFORMATION about the d attached Examiner's comment regarding REQUIREMENT FO			Note the
Attachment(s)			
<ul> <li>1⊠ Notice of References Cited (PTO-892)</li> <li>3□ Notice of Draftperson's Patent Drawing Review (PTO-94</li> <li>5□ Information Disclosure Statements (PTO-1449), Paper N</li> <li>7□ Examiner's Comment Regarding Requirement for Depos of Biological Material</li> </ul>	8) 4☐ Interview 5 lo 6☐ Examiner	nformal Patent Application ( Summary (PTO-413), Paper  s Amendment/Comment  s Statement of Reasons for   PATRICE WI  PRIMARY EX	No Allowance  e)inder  NDER

Application/Control Number: 09/530,579

Art Unit: 2155

### Reason for allowance

- 1. The following is an examiner's statement of reasons for allowance: The specific limitation as to the shunting and utilizing steps was agreed to during the in-person interview with applicants' attorney on 6/18/2003. During said interview, it was noted / that applicants contended in their amendment that a process of the combined applied teachings could not result in a successful article with the limitation of shunting temporarily the specific data stream at the upstream node and utilizing temporarily the specific resource reservation at the upstream node for other traffic while still maintaining the corresponding of the specific resource reservation and the specific data stream for future activation. After incorporating the argued limitation with the claimed data and telecommunications transmission method comprising: updating a specific resource reservation corresponding to a specific data stream at an upstream node in the fixed network (being controlled by a resource reservation protocol) when a downstream of another network (comprising links with variable bandwidth and quality) is unable to maintain a predetermined transmission quality of the specific data stream into independent claim 8, and dependent claims 9-14 are allowable over the prior art of record.
- 2. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Application/Control Number: 09/530,579

Art Unit: 2155

#### Conclusion

3. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Shionozaki, US Patent Number 6,038,214 has taught a method comprising: preempting process, storing present states of session, changing resources allocation of communication session, checking predetermined time elapsed, recovering original resources states of communication session (see figure 4, Col 6 lines 29-64).

- 4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Liang-che Alex Wang whose telephone number is (703) 305-8159. The examiner can normally be reached on Monday thru Friday, 8:30 am to 5:00 pm.
- 5. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hosain T Alam can be reached on (703)308-6662. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 746-7239 for regular communications and (703) 746-7238 for After Final communications.
- 6. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-9000.

Liang-che Alex Wang September 16, 2003

PATRICE WINDER
PRIMARY EXAMINER